

NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: <b>META MATERIALS</b>	Case Number: <b>24-50792</b>	
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):  <i>Thomas Cody Wheelis 2901 Ave T Santa Fe TX 77510</i>	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.  <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.  <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
Telephone Number: <b>832-689-4319</b>		
<p><b>NOTE:</b> This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.</p>		
<b>COURT USE ONLY</b>		
Account or other number by which Interest holder identifies Debtor: <b>RHS 970709838</b>	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:  <i>Robinhood 650-761-7789</i>	3. Date Equity Interest was acquired:  <i>See Attached Forms</i>	
Telephone Number:		
4. Total amount of member interest: <u>115</u>	5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest:  Description: INVESTOR		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box.	<input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (Attach copy of power of attorney, if any.)   (See Bankruptcy Rule 3004.)   (See Bankruptcy Rule 3005.)	
I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: <u>Thomas Cody Wheelis</u> Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____		
Signature: <u>Thomas C. Wheelis</u> (Signature)		Date: <u>11/26/24</u> (Date)
Telephone number: <u>832-689-4319</u> email: <u>cody@southbeltinc.com</u>		

*Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571*

Thomas Cody Wheelis

My address is 2901 Ave J Santa Fe TX 77510

Telephone # 832-689-4319

My transactions since Sept 21<sup>st</sup> 2020 to June 24<sup>th</sup> 2024 are as follows.

10/24/2020 7 shares bought TRCH  
10/25/2020 221 bought TRCH  
11/5/2020 835 bought TRCH  
12/7/2020 1509 bought TRCH  
12/7/2020 94 bought TRCH  
12/18/2020 2117 bought  
01/04/2021 467 bought  
01/15/2021 sell 5250  
01/15/2021 1816.33 bought  
01/19/2021 1183.67 bought  
01/19/2021 2070.069 bought  
01/28/2022 684.93 sold  
01/29/2021 572 bought  
01/29/2021 1531.84 BOUGHT TRCH  
02/04/2021 11000 bought TRCH  
02/05/2021 1050 bought  
02/05/2021 1525 bought  
02/08/2021 840 bought  
03/09/2021 160 bought  
03/12/2021 170 bought  
03/16/2021 17100 sold

03/17/2021 770 bought  
03/22/2021 10000 bought  
03/22/2021 12000 sold  
03/23/2021 3528 sold  
03/24/2021 4500 bought  
03/24/2021 370 bought  
03/26/2021 700 bought  
03/31/2021 5570 sold  
04/01/2021 5000 bought  
04/01/2021 279 bought  
04/06/2021 75 bought  
04/06/2021 45 bought  
04/06/2021 33 bought  
04/12/2021 520 bought  
04/12/2021 25 bought  
04/15/2021 11200 bought  
04/15/2021 500 bought  
04/16/2021 222 bought  
04/30/2021 10200 sold  
05/03/2021 10500 bought  
05/03/2021 12782 sold  
05/03/2021 5487 sold  
05/07/2021 1200 BOUGHT  
05/07/2021 9500 bought  
05/09/2021 5000 bought  
05/10/2021 470 bought  
06/18/2021 85 bought  
06/18/2021 5 bought  
06/22/2021 11 bought

06/23/2021 1 bought  
06/28/2021 reverse split  
06/09/2021 8230 BOUGHT MMAT  
06/09/2021 8251 SOLD MMAT  
07/09/2021 8230 bought  
07/09/2021 8310 sold  
07/09/2021 8000 bought  
07/09/2021 500 bought  
07/9/2021 80 bought  
07/12/2021 8602 sell MMAT  
07/12/2021 400 buy  
07/12/2021 19 buy  
07/12/2021 4000 buy  
07/12/2021 4000 buy  
07/12/2021 250 buy  
07/21/2021 7100 buy  
07/21/2021 500 buy  
07/22/2021 28 buy  
08/18/21 7628 sell  
10/6/2021 200 buy  
10/20/2021 68 buy  
10/22/2021 20 buy  
11/18/2021 225 buy  
11/18/2021 12 buy  
01/20/2022 8 buy  
01/27/2022 108 buy  
02/09/2022 15 buy  
02/15/2022 27 buy  
03/02/2022 758 sell

03/02/2022 746 sell  
03/07/2021 1 buy  
04/04/2022 5780 buy  
04/04/2022 505 buy  
04/04/2022 4 buy  
04/13/2022 3240 sell  
04/06/2022 18 buy  
05/11/2022 460 buy  
05/19/2022 1307 sell  
05/23/2022 22 buy  
06/23/2022 13 buy  
06/28/2022 450 BUY  
06/28/2022 15 BUY  
06/29/2022 4 BUY  
04/14/2023 3 BUY  
04/14/2023 220 BUY  
04/14/2023 11 BUY  
04/21/2023 250 BUY  
04/21/2023 18 BUY  
04/25/2023 225 BUY  
04/26/2023 250 BUY  
04/26/2023 32 BUY  
04/27/2023 245 BUY  
04/27/2023 12 BUY  
05/05/2023 510 SELL  
07/23/2023 23 BUY  
07/31/2023 125 SOLD  
08/31/2023 140 SOLD  
10/17/2023 1000 buy

10/19/2023 516 buy

10/20/2023 607 buy

10/20/2023 558 buy

10/23/2023 607 buy

10/25/2023 369 buy

10/27/2023 367 buy

10/27/2023 48 buy

10/30/2023 370 buy

10/31/2023 22 buy

11/01/2023 435 buy

11/02/2023 430 buy

12/07/2023 800 buy

01/07/2024 2376 buy

01/25/2024 616 buy

01/29/2024 1:100 split 11282.29961 went to 112 then went to 115 shares total

Currently hold 115 shares in Robinhood

Thank you.

Thomas Cody Wheelis



85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

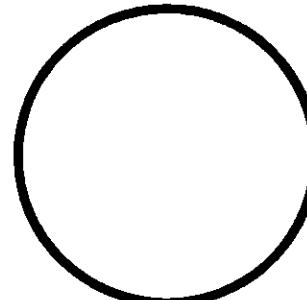
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10/01/2024 to 10/31/2024

Thomas Wheelis Account #:970709838  
2901 Avenue J, Santa Fe, TX 77510

Account Summary	Opening Balance	Closing Balance
Net Account Balance	\$0.19	\$0.19
Total Securities	\$42.55	\$10.02
<b>Portfolio Value</b>	<b>\$42.74</b>	<b>\$10.21</b>

#### Portfolio Allocation



- Cash and Cash Equivalents 1.86%
- Equities 98.14%
- Options 0.00%

Income and Expense Summary	This Period	Year to Date
Dividends	\$0.00	\$0.00
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF").

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

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**Portfolio Summary**

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Luokung Technology Estimated Yield: 0.00%	LKCO	Cash	1	\$1.8500	\$1.85	\$0.00	18.12%
Meta Materials Estimated Yield: 0.00%	MMATQ	Cash	115	\$0.0710	\$8.17	\$0.00	80.02%
<b>Total Securities</b>					<b>\$10.02</b>	<b>\$0.00</b>	<b>98.14%</b>
<b>Brokerage Cash Balance</b>					<b>\$0.19</b>		<b>1.86%</b>
<b>Total Priced Portfolio</b>					<b>\$10.21</b>		

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Luokung Technology CUSIP: G56981114		Cash	SPR	10/10/2024	1			
<b>Total Funds Paid and Received</b>							<b>\$0.00</b>	<b>\$0.00</b>

**Executed Trades Pending Settlement**

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
<b>Total Executed Trades Pending Settlement</b>							<b>\$0.00</b>	<b>\$0.00</b>

## Important Information

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to regulatory trading/transaction fees and any other charges incurred in connection with equity or listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting [help@robinhood.com](mailto:help@robinhood.com).

Any free credit balances represent funds payable on demand of the customer.

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

### Notice to Customers

As required, under SEC rules, both the RHF and RHS Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, RHS provides securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashiering services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision with appropriate notice. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

Robinhood Gold Card is offered by Robinhood Credit, Inc. ("RCT") and is issued by Coastal Community Bank, Member FDIC, pursuant to a license from Visa U.S.A. Inc. RCT is a financial technology company, not a bank.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email RHF or RHS at [help@robinhood.com](mailto:help@robinhood.com). RHF must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

1. Tell RHF your name and account number.
2. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
3. Tell RHF the dollar amount of the suspected error.

RHF will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, RHF will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes RHF to complete our investigation.

Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of the sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venues. In addition, the Rule (SEC Rule 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

All trade confirmations are transmitted on or about the transaction date.

### Statement of Financial Condition

Robinhood Securities, LLC. Unaudited Statement of Financial Condition as of June 30, 2024 is available on the Company's website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On June 30, 2024, Robinhood Securities, LLC, had a net capital of \$2,457,014,912, which was \$2,349,014,248 in excess of its required net capital of \$108,000,664.

Please retain this statement as it will be helpful in preparing your income tax returns and may be needed along with subsequent statements to verify interest charges in your account. This statement shall be deemed conclusive unless objected to in writing within ten (10) days.

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85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

03/01/2021 to 03/31/2021

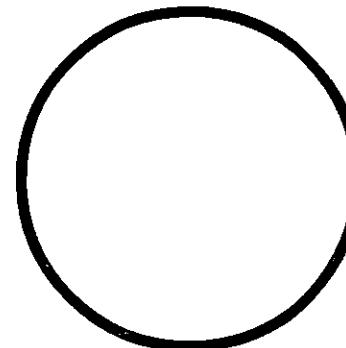
Thomas Wheelis Account #:970709838  
6811 County Rd 203, Liverpool, TX 77577

Options       Equities       Cash and Cash Equivalents

Account Summary	Opening Balance	Closing Balance
Net Account Balance	\$1.13	\$8.81
Total Securities	\$52,137.03	\$10,488.42
<b>Portfolio Value</b>	<b>\$52,138.16</b>	<b>\$10,497.23</b>

Income and Expense Summary	This Period	Year to Date
Dividends	\$0.00	\$0.00
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

### Portfolio Allocation



- Cash and Cash Equivalents 0.08%
- Equities 99.92%
- Options 0.00%

**Portfolio Summary**

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Ayro Estimated Yield: 0.00%	AYRO	Margin	22	\$6.48	\$142.56	\$0.00	1.36%
Hall of Fame Resort & Entertainment Estimated Yield: 0.00%	HOFV	Margin	18	\$5.02	\$90.36	\$0.00	0.86%
Ideanomics Estimated Yield: 0.00%	IDEX	Margin	20	\$2.92	\$58.40	\$0.00	0.56%
Evolve Transition Infrastructure Estimated Yield: 0.00%	SNMP	Margin	4	\$1.00	\$4.00	\$0.00	0.04%
Torchlight Energy Resources Estimated Yield: 0.00%	TRCH	Margin	5,570	\$1.83	\$10,193.10	\$0.00	97.10%
<b>Total Securities</b>					<b>\$10,488.42</b>	<b>\$0.00</b>	<b>99.92%</b>
<b>Brokerage Cash Balance</b>					<b>\$8.81</b>		<b>0.08%</b>
<b>Total Priced Portfolio</b>					<b>\$10,497.23</b>		

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Evolve Transition Infrastructure Unsolicited, CUSIP: 30053M104		Margin	SXCH	03/01/2021	4			
Sanchez Midstream Unsolicited, CUSIP: 79971C201		Margin	SXCH	03/01/2021	48			
ACH Deposit		Margin	ACH	03/03/2021				\$500.00
Fubo TV Unsolicited, CUSIP: 35953D104	FUBO	Margin	Buy	03/03/2021	13	\$35.86	\$466.12	
ACH Deposit		Margin	ACH	03/08/2021				\$400.00
Crypto Money Movement		Margin	COIN	03/08/2021				\$34.93
Crypto Money Movement		Margin	COIN	03/08/2021				\$399.88
Fubo TV Unsolicited, CUSIP: 35953D104	FUBO	Margin	Sell	03/09/2021	13	\$28.86		\$375.22
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/09/2021	160	\$2.30	\$368.80	
Crypto Money Movement		Margin	COIN	03/12/2021				\$516.22
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/12/2021	170	\$2.86	\$486.20	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	10	\$2.33		\$23.30
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	400	\$2.33		\$931.94
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	1,228	\$2.33		\$2,861.07
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	50	\$2.33		\$116.50
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	6	\$2.33		\$13.98

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	100	\$2.33		\$232.99
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	100	\$2.33		\$232.99
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	1,000	\$2.33		\$2,329.86
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	2,000	\$2.33		\$4,659.73
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	2,000	\$2.33		\$4,659.73
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	2,000	\$2.33		\$4,659.73
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	100	\$2.33		\$232.99
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	1,009	\$2.33		\$2,350.83
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	500	\$2.33		\$1,164.93
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	100	\$2.33		\$232.99
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	1,000	\$2.33		\$2,329.86
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	100	\$2.33		\$232.99
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	100	\$2.33		\$232.99
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	183	\$2.33		\$426.37
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	9	\$2.34		\$21.02

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Gty	Price	Debit	Credit
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	80	\$2.34		\$187.19
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	12	\$2.34		\$28.02
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	5	\$2.34		\$11.70
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	2	\$2.34		\$4.67
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	2	\$2.34		\$4.68
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	180	\$2.34		\$420.28
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	39	\$2.34		\$91.26
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	1	\$2.34		\$2.34
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	160	\$2.34		\$373.58
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	25	\$2.33		\$58.25
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	1,717	\$2.33		\$4,000.38
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	2,600	\$2.33		\$6,057.65
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	2	\$2.33		\$4.66
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	120	\$2.33		\$279.59
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	50	\$2.33		\$116.50

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	10	\$2.33		\$23.30
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/16/2021	100	\$2.33		\$232.99
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/17/2021	770	\$2.22	\$1,708.55	
Sundial Growers Unsolicited, CUSIP: 86730L109	SNDL	Margin	Sell	03/18/2021	32	\$1.66		\$53.28
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/18/2021	500	\$2.18	\$1,087.50	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/18/2021	25	\$2.28	\$56.88	
ACH Withdrawal		Margin	ACH	03/24/2021			\$40,000.00	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/22/2021	10,000	\$2.17	\$21,700.00	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/22/2021	12,000	\$2.40		\$28,858.42
Lipocine Unsolicited, CUSIP: 53630X104	LPCN	Margin	Buy	03/23/2021	950	\$1.66	\$1,574.63	
Lipocine Unsolicited, CUSIP: 53630X104	LPCN	Margin	Buy	03/23/2021	50	\$1.66	\$82.75	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	03/23/2021	3,528.981806	\$2.18		\$7,692.72
Hall of Fame Resort & Entertainment Unsolicited, CUSIP: 40619L102	HOFV	Margin	Buy	03/24/2021	18	\$6.61	\$118.98	
Ideanomics Unsolicited, CUSIP: 45166V106	IDEX	Margin	Buy	03/24/2021	20	\$2.72	\$54.40	
Tesla Unsolicited, CUSIP: 88160R101	TSLA	Margin	Buy	03/24/2021	1.2	\$660.39	\$792.47	

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Tesla Unsolicited, CUSIP: 88160R101	TSLA	Margin	Sell	03/24/2021	1	\$645.31		\$645.30
Tesla Unsolicited, CUSIP: 88160R101	TSLA	Margin	Sell	03/24/2021	0.2	\$645.37		\$129.07
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/24/2021	370	\$1.94	\$717.80	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/24/2021	4,500	\$2.08	\$9,360.00	
Lipocine Unsolicited, CUSIP: 53630X104	LPCN	Margin	Sell	03/26/2021	950	\$1.47		\$1,397.71
Lipocine Unsolicited, CUSIP: 53630X104	LPCN	Margin	Sell	03/26/2021	50	\$1.48		\$73.75
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	03/26/2021	700	\$1.90	\$1,329.02	
Ayro Unsolicited, CUSIP: 054748108	AYRO	Margin	Buy	03/29/2021	22	\$6.32	\$138.93	
<b>Total Funds Paid and Received</b>							<b>\$80,477.84</b>	<b>\$80,485.52</b>

**Executed Trades Pending Settlement**

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	Margin	Sell	03/31/2021	04/05/2021	5,570	\$1.83		\$10,196.84
<b>Total Executed Trades Pending Settlement</b>							<b>\$0.00</b>	<b>\$10,196.84</b>

## Important Information

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF"). If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting [help@robinhood.com](mailto:help@robinhood.com).

Our financial statement is available for your personal inspection; it can also be emailed to you upon request.

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

### Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, we provide securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashiering services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email Robinhood at [help@robinhood.com](mailto:help@robinhood.com). Robinhood must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell Robinhood your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell Robinhood the dollar amount of the suspected error.

Robinhood will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes Robinhood to complete our investigation.

If you participate in Robinhood Cash Management, your uninvested cash is swept into accounts at FDIC-insured program banks, where your uninvested cash will earn interest from the program banks and be eligible for FDIC insurance up to applicable limits, subject to FDIC rules. Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of this sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venue. In addition, the Rule (SEC 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

### Statement of Financial Condition

Robinhood Securities, LLC. audited Statement of Financial Condition as of December 31, 2020 is available on the Company's website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On December 31, 2020, Robinhood Securities, LLC. had a net capital of \$554,391,618, which was \$486,817,019 in excess of its required net capital of \$67,574,599.

**PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS.**



85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

02/01/2021 to 02/28/2021

Thomas Wheelis Account #:970709838  
6811 County Rd 203, Liverpool, TX 77577

#### Important Notice

Opening balances may be impacted by amounts represented in the addendum to your January statement.

■ Options

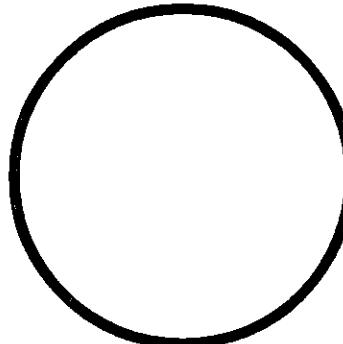
■ Equities

■ Cash and Cash Equivalents

#### Account Summary

	Opening Balance	Closing Balance
Net Account Balance	\$25,000.00	\$1.13
Total Securities	\$8,365.62	\$52,137.03
<b>Portfolio Value</b>	<b>\$33,365.62</b>	<b>\$52,138.16</b>

#### Portfolio Allocation



- Cash and Cash Equivalents 0.00%
- Equities 100.00%
- Options 0.00%

#### Income and Expense Summary

	This Period	Year to Date
Dividends	\$0.00	\$0.00
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Sundial Growers Estimated Yield: 0.00%	SNDL	Margin	32	\$1.33	\$42.56	\$0.00	0.08%
Sanchez Midstream Estimated Yield: 99.44%	SNMP	Margin	4	\$1.15	\$4.60	\$4.57	0.01%
Torchlight Energy Resources Estimated Yield: 0.00%	TRCH	Margin	21,003.981806	\$2.48	\$52,089.87	\$0.00	99.91%
<b>Total Securities</b>					<b>\$52,137.03</b>	<b>\$4.57</b>	<b>100.00%</b>
<b>Brokerage Cash Balance</b>					<b>\$1.13</b>		<b>0.00%</b>
<b>Total Priced Portfolio</b>					<b>\$52,138.16</b>		

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Crypto Money Movement		Margin	COIN	02/01/2021			\$43.86	
Crypto Money Movement		Margin	COIN	02/01/2021			\$954.80	
Crypto Money Movement		Margin	COIN	02/01/2021				\$2,757.19
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	01/28/2021	682.593856	\$1.46		\$996.48
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Sell	01/28/2021	2.335616	\$1.46		\$3.30
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	01/29/2021	1.270	\$1.80	\$2,286.00	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	01/29/2021	100	\$1.80	\$180.00	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	01/29/2021	161.733333	\$1.80	\$291.12	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	01/29/2021	0.105849	\$1.80	\$0.19	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	01/29/2021	572	\$1.75	\$1,001.00	
Crypto Money Movement		Margin	COIN	02/04/2021			\$89.91	
Crypto Money Movement		Margin	COIN	02/04/2021			\$2,000.89	
Crypto Money Movement		Margin	COIN	02/05/2021				\$1,723.03
ACH Deposit		Margin	ACH	02/08/2021				\$1,000.00
Aphria Unsolicited, CUSIP: 03765K104	APHA	Margin	Buy	02/04/2021	25	\$16.95	\$423.75	
Aphria Unsolicited, CUSIP: 03765K104	APHA	Margin	Buy	02/04/2021	100	\$16.94	\$1,694.50	

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Churchill Capital Unsolicited, CUSIP: 171439102	CCIV	Margin	Buy	02/04/2021	15	\$28.25	\$423.68	
Crypto Money Movement		Margin	COIN	02/08/2021				\$171.00
Crypto Money Movement		Margin	COIN	02/08/2021				\$79.52
Crypto Money Movement		Margin	COIN	02/08/2021				\$91.48
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	02/04/2021	8,000	\$1.76	\$14,080.00	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	02/04/2021	3,000	\$1.76	\$5,280.00	
Aphria Unsolicited, CUSIP: 03765K104	APHA	Margin	Sell	02/05/2021	125	\$16.55		\$2,068.95
Churchill Capital Unsolicited, CUSIP: 171439102	CCIV	Margin	Sell	02/05/2021	15	\$32.40		\$486.00
Crypto Money Movement		Margin	COIN	02/09/2021				\$32.71
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	02/05/2021	1,225	\$1.62	\$1,983.15	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	02/05/2021	300	\$1.59	\$477.00	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	02/05/2021	1,050	\$1.62	\$1,699.64	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	02/08/2021	840	\$1.30	\$1,092.00	
ACH Deposit		Margin	ACH	02/18/2021				\$400.00
Sundial Growers Unsolicited, CUSIP: 86730L109	SNDL	Margin	Buy	02/18/2021	32	\$1.59	\$50.82	
Torchlight Energy Resources Unsolicited, CUSIP: 89102U103	TRCH	Margin	Buy	02/18/2021	100	\$3.44	\$344.00	

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Sanchez Midstream Unsolicited, CUSIP: 79971C201	SNMP	Margin	Buy	02/23/2021	4	\$1.20	\$4.80	
<b>Total Funds Paid and Received</b>							<b>\$34,604.82</b>	<b>\$9,605.95</b>

**Executed Trades Pending Settlement**

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Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
<b>Total Executed Trades Pending Settlement</b>							<b>\$0.00</b>	<b>\$0.00</b>

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PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS.